



COPY OF PAPERS
ORIGINALLY FILED

PATENT
ATTORNEY DOCKET NO.: GENE1400-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Zhang and Hofmann Art Unit: 3762
Application No.: 09/966,390 Examiner: Unassigned
Filed: September 27, 2001
Title: METHOD AND APPARATUS FOR ELECTRICALLY ASSISTED
TOPICAL DELIVERY OF AGENTS FOR COSMETIC APPLICATIONS

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed October 29, 2001,
enclosed are:

1. A copy of the Notice to File Missing Parts of Application (2 pages);
2. An executed copy of the Declaration For Patent Application from parent application (U.S. Serial No. 09/352,818) (3 pages);
3. Substitute Drawings (18 pages);
4. Petition for One (1) Month Extension of Time (2 pages);
5. Check No. 496812 in the amount of \$65.00 and Check No. 500191 in the amount of \$55.00; and
6. Return Receipt Postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, January 29, 2002, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Cecilia Tobin

(Name of Person Mailing Paper)

Cecilia Tobin January 29, 2002
(Signature) (Date)

PATENT
ATTORNEY DOCKET NO.: GENE1400-2

Applicants: Zhang and Hofmann
Application No.: 09/966,390
Filed: September 27, 2001
Page 2

Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

The filing fee is calculated as follows:

	Number Filed		Number Extra		Rate			Fee	
					Large Entity	Small Entity		Large Entity	Small Entity
Total Claims	80 -20	=	60	X	\$18	\$09	=	\$00	\$00
Independent Claims	3 -3	=	0	X	\$84	\$42	=	\$00	\$00
Multiple Dependent Claims Presented:	<u>Yes</u> <input checked="" type="checkbox"/> <u>No</u>				\$280	\$140		\$00	\$00
							BASIC FEE	\$740.00	\$370.00
							TOTAL FEE	\$00	\$00

Enclosed is Check No. 496812 in the amount of \$65.00 for the surcharge fee for missing parts of the application and Check No. 500191 in the amount of \$55.00 for the One (1) Month Extension of Time fee. The Commissioner is hereby authorized to charge any additional fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Lisa A. Haile, J.D., Ph.D.
Registration No.: 38,347
Telephone: (858) 677-1456
Facsimile: (858) 677-1465

Date: January 29, 2002

USPTO CUSTOMER NUMBER 28213
GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, California 92121-2133

RECEIVED

NOV 12 2001



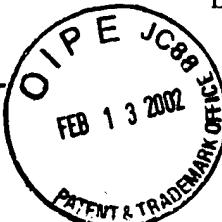
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,390	09/27/2001	Lei Zhang	GENE1400-2

*COPY OF PAPERS
ORIGINALLY FILED*

Lisa A. Haile, J.D., Ph.D.
GRAY CARY WARE & FREIDENRICH LLP
Suite 1600
4365 Executive Drive
San Diego, CA 92121-2189



CONFIRMATION NO. 7376

FORMALITIES LETTER



OC000000006989429

Date Mailed: 10/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/19/2002 BSBYASII 00000117 09966390

01 FC:205

65.00 0P

*A copy of this notice **MUST** be returned with the reply.*

Thel

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE